AO 120 (Rev.3/04)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

## REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

| In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court San Diego on the following Patents or Trademarks: |                                |  |
|---|--------------------------------|--|
| DOCKET NO.  | DATE FILED                     | U.S. DISTRICT COURT  |
| 07CV070 <b>)</b> -JM-POR  | 4/16/07                        | Transferred from District of Delaware to Southern District of California |
| PLAINTIFF   |                                | DEFENDANT  |
| NessCap Co Ltd  |                                | Maxwell Technologies, Inc.   |
| PATENT OR<br>TRADEMARK NO.  | DATE OF PATENT<br>OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK  |
| 1 6,743,544 B2  | 06/01/04                       | Ness Capacitor Co., Ltd  |
| 2   |                                |  |
| 3   |                                |  |
| 4   |                                |  |
| 5   |                                |  |
| In the above-entitled case, the following patent(s)/trademark(s) have been included:  |                                |  |
| DATE INCLUDED   | INCLUDED BY Amendment          | Answer Cross Bill Other Pleading   |
| PATENT OR<br>TRADEMARK NO.  | DATE OF PATENT<br>OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK  |
| 1   |                                |  |
|   | 1                              |  |
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| <del> </del>  |                                |  |
| 3   |                                |  |
| 3<br>4<br>5   | ove-entitled case, the follo   | wing decision has been rendered or judgment issued:                      |
| 3<br>4<br>5   | ove-entitled case, the follo   | wing decision has been rendered or judgment issued:                      |
| 3 4 5 In the abo  |                                | wing decision has been rendered or judgment issued:                      |
| 3 4 5 In the abo  | ove-entitled case, the follo   | wing decision has been rendered or judgment issued:                      |
| 3 4 5 In the abo DECISION/JUDGMENT  | attached                       |  |
| 3 4 5 In the abo  |                                |  |

Copy 2 - Upon filing document adding patent(s), mail this copy to Director

Copy 4 - Case file copy

